

(MERCHANT BANKER) INVESTORS COMPLAINTS DETAILS AS ON 31ST OCT, 2023

Date for every month ending –

Sr. No.	Received from	Pending as at the end of last Month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year:

Sr. No.	Month	Carried forward from previous Month	Received during particular month	Resolved during particular month*	Pending at the end of particular month#
1	April 2023	0	0	0	0
2	May 2023	0	0	0	0
3	June 2023	0	0	0	0
4	July 2023	0	0	0	0
5	Aug 2023	0	0	0	0
6	Sep 2023	0	0	0	0
7	Oct 2023	0	0	0	0
	Grand Total	0	0	0	0

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis)[§]:

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
	Grand total	0	0	0	0

[§] CredAvenue Securities Private Limited received our Merchant Banking License on FY 2021-22 only, hence previous FY 2020 and 2019 shall not be relevant.